



CORRECTED CLAIMS APPENDIX

There were no claims amended after the final office action rejection. The following are the claims on appeal:

1. (original) An automated method for conducting a cattle auction, comprising:
 - providing a seller with guidelines for processing an animal prior to an auction, said seller processing said animal according to said guidelines, said guidelines including at least one required vaccination, at least one required medication, at least one required treatment, at least one required health record, and required electronic identification for the animal;
 - providing the seller with an electronic identification unit for said animal prior to the auction, said seller identifying said animal with the electronic identification unit, said electronic identification unit containing a unique animal code for the animal;
 - providing the seller with a visual identification tag for said animal prior to the auction, said seller identifying the animal with the visual identification tag, said visual identification tag containing a unique animal code for the animal;
 - providing the seller with a data card for said animal prior to the auction, said seller completing the data card for each animal, said data card including a unique animal code corresponding to the unique animal code contained in the electronic identification unit for the animal and further including fields for the seller to provide animal data including information on the animal's physical characteristics, administrative information on the animal, and information on medications, vaccinations and treatments given to the animal;
 - providing the seller with a sales certification form prior to the auction, said seller completing the sales certification form for each animal, said sales certification form having fields for the seller to provide background information, identifying information on the animal, information on vaccinations given to the animal, and seller's signature, said seller thereby certifying that the animal was processed according to vaccination processing guidelines;
 - obtaining completed data card from the seller;
 - recording animal data and corresponding unique animal code into first electronic database maintained on host computer for the auction barn facility, said host computer running a first software application;
 - obtaining completed sales certification form from the seller;
 - verifying that animal has electronic identification unit containing unique animal code;
 - receiving animal into auction barn facility;
 - providing seller with a receipt for said animal;
 - assigning unique group code to animal by sorting animal into group with other animals having at least one common characteristic, by means of a unique group code;
 - recording animal's unique animal code into second electronic database on host computer running a second software application;

- recording animal's unique group code corresponding to the animal's unique animal code into second electronic database on host computer running a second software application;
 weighing the animal;
 recording said animal's weight corresponding the unique animal code into second electronic database on host computer running a second software application;
 auctioning an animal or group of animals;
 re-sorting the animals a second time to confirm that animals are in appropriate grouping;
 recording buyer data, including buyer name and information sufficient to ascertain amount due from buyer, into second electronic database on host computer for the auction barn facility, said host computer running a second software application;
 preparing a check for the seller by means of the second software application; and
 preparing an invoice for the buyer by means of the second software application.
2. (original) The method of Claim 1 wherein recording the animal's unique animal code comprises the steps of:
- reading the animal's unique animal code with a radio frequency identification reader unit which queries a radio frequency identification transponder such that the transponder provides a unique animal code;
 - uploading the unique animal code from the reader to the host computer by means of wireless radio frequency connection;
 - wherein said electronic identification unit is a radio frequency identification transponder.
3. (original) The method of Claim 2 wherein
 the electronic identification unit is located on the animal's ear.
4. (original) The method of Claim 3 wherein recording the animal's unique group code comprises the steps of:
- reading the animal's unique group code with a radio frequency identification reader unit which queries a radio frequency identification transponder having a unique group code, such that the radio frequency identification transponder having the unique group code provides said unique group code when queried by the radio frequency identification reader unit;
 - uploading the unique group code from the reader to the host computer by means of a wireless radio frequency connection.
5. (original) The method of Claim 4 further comprising the steps of:
- exporting buyer data from the second electronic database maintained on the host computer using the second software application into an information file;
 - importing buyer data from the information file into the first electronic database maintained on the host computer using the first software application; and
 - maintaining said first electronic database on at least one computer such that animal data can be accessed according to the animal's unique animal code.

6. (original) The method of Claim 4 further comprising the steps of:
 exporting buyer data from the second electronic database maintained on the host computer using the second software application into an information file;
 importing buyer data from the information file into the first electronic database maintained on the host computer using the first software application;
 maintaining at least one additional electronic database, on more than one computer running said first software application, such that animal data can be accessed according to the animal's unique animal code, said additional database or databases containing animal data; and
 communicating between the first electronic database and at least one additional electronic database on each computer such that animal data is shared between databases without the necessity of reentering data.
7. (original) The method of Claim 6 wherein
 the guidelines include at least one requirement for an effective amount of a vaccine selected from the group consisting of a four-way virus vaccine for IBR, BVD, P13 and BRSV (MLV), a Pasteurella vaccine, and a combination of a clostridial seven-way vaccine and Hemophilus somnus vaccine;
 wherein if said effective amount of a vaccine is a four-way virus vaccine, then the manufacturer and corresponding trade name of the four-way virus vaccine are selected from the group consisting of BAYER BRSV VAC 4 vaccine, FORT DODGE PYRIMID 4 vaccine, and PFIZER BOVISHIELD 4 vaccine, and said four way virus vaccine is administered first at weaning and again fourteen to twenty-one days later;
 wherein if said effective amount of a vaccine is a Pasteurella vaccine, then said effective amount of a Pasteurella vaccine is first administered at weaning and then fourteen to twenty-one days after the first Pasteurella vaccination, and wherein the manufacturer and corresponding trade name of said Pasteurella vaccine is selected from the group consisting of BAYER ONCE PMH vaccine, FORT DODGE PRESPONSE vaccine and PFIZER ONE-SHOT vaccine;
 wherein if said effective amount of a vaccine is a combination of a clostridial seven-way vaccine and hemophilus somnus vaccine, and if a blackleg is given at branding, then the clostridial seven way vaccination and hemophilus somnus vaccination is administered at weaning, and the manufacturer and corresponding trade name of the clostridial seven way vaccine and hemophilus somnus vaccine is selected from the group consisting of BAYER VISION 7 SOMNUS vaccine and PFIZER FORTRESS 7 vaccine;
 wherein if said effective amount of a vaccine is a combination of a clostridial seven-way vaccine and hemophilus somnus vaccine, and no blackleg is given at branding, then the clostridial seven way vaccination and hemophilus somnus vaccination is first administered at weaning and then fourteen to twenty-one days after the first clostridial seven way vaccination and hemophilus somnus vaccination, and the manufacturer and corresponding trade name of the clostridial seven way vaccine and hemophilus somnus vaccine are selected from the group

consisting of BAYER VISION 7 SOMNUS vaccine and PFIZER FORTRESS 7 vaccine.

8. (original) The method of Claim 7 wherein the guidelines include a required deworm medication once at weaning and the manufacturer and corresponding trade name of deworm medication are selected from the group consisting of MERIAL IVOMEK, PFIZER DECTOMAX , and FORT DODGE CYDECTIN.
9. (original) The method of Claim 8 wherein the guidelines include a required weaning date for the animal and said required weaning date is at least forty five days prior to the auction.
10. (original) The method of Claim 9 wherein the guidelines include at least one requirement for the location of administration of each vaccination, said requirement being selected from the group consisting of using the neck area for intramuscular injection, injecting subcutaneously if labeled on the product, and following label directions.
11. (original) The method of Claim 10 wherein the guidelines include at least one recommendation for nutrition for an animal, said recommendation for nutrition being selected from the group consisting of:
 - high quality, high energy rations for the first three to five days subsequent to weaning;
 - hand-feeding the animal on grass, fields or improved pastures in sufficient quantities to maintain the health and growth of the animal;
 - giving an animal its free choice of salt and minerals at all times; and
 - giving an animal an adequate and clean water supply at all times.
12. (original) The method of Claim 11 wherein the fields for the seller's background information, identifying information on the animal, information on vaccinations given to the animal, and seller's signature, on the sales certification form include:
 - the seller's name;
 - the ranch name;
 - seller's address;
 - seller's phone number;
 - seller's facsimile number;
 - a contact person for the seller;
 - the sire breed for the animal;
 - the dam breed for the animal;
 - the animal's vaccination background including the name of each vaccination, the lot or serial number for each vaccination, the date of each vaccination, the location of administration of each vaccination;
 - seller's certification to accuracy of information contained on sales certification form;

seller's signature;
 date of certification for said sales certification form; and
 indication of whether purchase receipts are attached to said sales certification form.

13. (original) The method of Claim 12 wherein
 said data card is completed using abbreviations contained on at least one code card; and
 wherein said data card has fields for animal data including information on the animal's physical characteristics, administrative information on the animal, and information on medications, vaccinations and treatments given to the animal, including:
- the date said data card is being completed;
 - the ranch on which the animal is located;
 - whether group information is being given on the animal;
 - the visual identification tag number for the animal;
 - the animal's sex as heifer, cow, steer or bull;
 - brand, method and dosage of medications and vaccinations for
 - Brucellosis,
 - Clostridial,
 - IBR,
 - PI-3,
 - BVD,
 - BRSV,
 - Haemophilus somnus bacterin,
 - Pasteurella,
 - Leptospirosis,
 - Deworm,
 - Grub/Lice, and
 - Other.
 - brand of any implant;
 - treatments whereby the animal was branded, castrated, dehorned, or weaned;
 - an animal's frame rated as 1, 2, 3, 4, 5, 6, or 7;
 - an animal's condition rated as 1, 2, 3, 4, 5, 6, 7, 8, or 9;
 - an animal's breed code as such code is defined by a code card;
 - an animal's sire code as such code is defined by a code card;
 - an animal's dam code as such code is defined by a code card;
 - an animal's location;
 - an animal's birth date;
 - an animal's color as black, red, white, brindle, grey, black with white face or red with white face;
 - pregnancy at 1-2 months, 3-4 months, 4-5 months, 6-7 months, and 8-9 months;
 - whether a broken needle is observed on the animal;
 - whether a broken needle has not been observed on the animal; and

any incident other than a broken needle.

14. (original) The method of Claim 13 wherein
a common characteristic of the group of animals to which said animal is assigned
is selected from the group consisting of size, frame, and breed.
15. (original) The method of Claim 14 wherein
information sufficient to ascertain purchase price comprises price per pound for
animal or group of animals purchased and weight in pounds of animal or group of
animals purchased.
16. (original) An automated system for conducting a cattle auction, comprising:
guidelines for processing an animal prior to an auction, said guidelines including
at least one required vaccination, at least one required medication, at least one
required treatment, at least one required health record, and required electronic
identification for the animal;
sales certification form for certifying that the animal was processed according to
vaccination processing guidelines prior to the auction, said sales certification form
having fields for the seller to provide background information, identifying
information on the animal, information on vaccinations given to the animal, and
seller's signature;
electronic identification unit for identifying the animal, said electronic
identification unit containing a unique animal code for the animal;
visual identification tag for identifying the animal, said visual identification tag
containing a unique animal code for the animal;
a data card for providing animal data prior to an auction, said data card including
a unique animal code corresponding to the unique animal code contained in the
electronic identification unit for the animal and further including fields for the
seller to provide animal data including information on the animal's physical
characteristics, administrative information on the animal, and information on
medications, vaccinations and treatments given to the animal;
auction barn facility for receiving and auctioning animal individually or as part of
a group;
receipt for acknowledging that animal was received into auction barn facility;
scale for weighing the animal;
unique group code for assigning animal to a group, the animals in said group
having at least one common characteristic;
a first electronic database for storing animal data, including animal data from data
card, said first electronic database maintained on a host computer for the auction
barn facility, said host computer running a first software application;
a second electronic database for storing animal data including buyer data for
purchaser of animal sold including buyer name and information sufficient to
ascertain purchase price for animal or group of animals;
wherein said second electronic database is maintained on a host computer for the
auction barn facility;
wherein said host computer runs a second software application;

wherein said second software application prepares a buyer invoice for billing the buyer for animal or group of animals purchased; and
 wherein said second software application further prepares a check for the seller in payment for animal or group of animals sold to a buyer at auction.

17. (original) The system of Claim 16 further comprising:
 a radio frequency identification reader unit for reading the unique animal code and unique group code;
 a wireless radio frequency connection for uploading unique animal code and unique group code from the reader to the host computer;
 wherein the electronic identification unit is a radio frequency identification transponder; and
 wherein the unique group code is contained in a radio frequency identification transponder.
18. (original) The system of Claim 17 further comprising:
 information file for receiving buyer data from the second electronic database maintained on the host computer using the second software application and sending buyer to first electronic database maintained on the host computer using the first software application; and
 at least one computer in addition to the host computer for maintaining said first electronic database such that animal data can be accessed according to the animal's unique animal code.
19. (original) The system of Claim 17 further comprising:
 information file for receiving buyer data from the second electronic database maintained on the host computer using the second software application and sending buyer to first electronic database maintained on the host computer using the first software application; and
 at least one additional electronic database, said additional electronic database or databases being maintained on more than one computer running said first software application, such that animal data can be accessed according to the animal's unique animal code, said additional database or databases containing animal data; and
 communication means for communicating between the first electronic database and the additional electronic database or databases on each computer such that animal data is shared between databases without the necessity of reentering data.
20. (original) The method of Claim 19 wherein
 the guidelines include at least one requirement for an effective amount of a vaccine selected from the group consisting of a four-way virus vaccine for IBR, BVD, P13 and BRSV (MLV), a Pasteurella vaccine, and a combination of a clostridial seven-way vaccine and Hemophilus somnus vaccine;
 wherein if said effective amount of a vaccine is a four-way virus vaccine, then the manufacturer and corresponding trade name of the four-way virus vaccine are selected from the group consisting of BAYER BRSV VAC 4 vaccine, FORT

DODGE PYRIMID 4 vaccine, and PFIZER BOVISHIELD 4 vaccine, and said four way virus vaccine is administered first at weaning and again fourteen to twenty-one days later;

wherein if said effective amount of a vaccine is a Pasteurella vaccine, then said effective amount of a Pasteurella vaccine is first administered at weaning and then fourteen to twenty-one days after the first Pasteurella vaccination, and wherein the manufacturer and corresponding trade name of said Pasteurella vaccine is selected from the group consisting of BAYER ONCE PMH vaccine, FORT DODGE PRESPONSE vaccine and PFIZER ONE-SHOT vaccine;

wherein if said effective amount of a vaccine is a combination of a clostridial seven-way vaccine and hemophilus somnus vaccine, and if a blackleg is given at branding, then the clostridial seven way vaccination and hemophilus somnus vaccination is administered at weaning, and the manufacturer and corresponding trade name of the clostridial seven way vaccine and hemophilus somnus vaccine is selected from the group consisting of BAYER VISION 7 SOMNUS vaccine and PFIZER FORTRESS 7 vaccine;

wherein if said effective amount of a vaccine is a combination of a clostridial seven-way vaccine and hemophilus somnus vaccine, and no blackleg is given at branding, then the clostridial seven way vaccination and hemophilus somnus vaccination is first administered at weaning and then fourteen to twenty-one days after the first clostridial seven way vaccination and hemophilus somnus vaccination, and the manufacturer and corresponding trade name of the clostridial seven way vaccine and hemophilus somnus vaccine are selected from the group consisting of BAYER VISION 7 SOMNUS vaccine and PFIZER FORTRESS 7 vaccine.

21. (original) The system of Claim 20 wherein the guidelines include a required weaning date for the animal and said required weaning date is at least forty five days prior to the auction.
22. (original) The method of Claim 21 wherein the guidelines include a required deworm medication once at weaning and the manufacturer and corresponding trade name of deworm medication are selected from the group consisting of MERIAL IVOMEC, PFIZER DECTOMAX , and FORT DODGE CYDECTIN.
23. (original) The method of Claim 22 wherein the guidelines include at least one requirement for the location of administration of each vaccination, said requirement being selected from the group consisting of using the neck area for intramuscular injection, injecting subcutaneously if labeled on the product, and following label directions.
24. (original) The method of Claim 23 wherein the guidelines include at least one recommendation for nutrition for an animal, said recommendation for nutrition being selected from the group consisting of:

high quality, high energy rations for the first three to five days subsequent to weaning;
 hand-feeding the animal on grass, fields or improved pastures in sufficient quantities to maintain the health and growth of the animal;
 giving an animal its free choice of salt and minerals at all times; and
 giving an animal an adequate and clean water supply at all times.

25. (original) The method of Claim 24 wherein the fields for the seller's background information, identifying information on the animal, information on vaccinations given to the animal, and seller's signature, on the sales certification form include:

- the seller's name;
- the ranch name;
- seller's address;
- seller's phone number;
- seller's facsimile number;
- a contact person for the seller;
- the sire breed for the animal;
- the dam breed for the animal;
- the animal's vaccination background including the name of each vaccination, the lot or serial number for each vaccination, the date of each vaccination, the location of administration of each vaccination;
- seller's certification to accuracy of information contained on sales certification form;
- seller's signature;
- date of certification for said sales certification form;
- indication of whether purchase receipts are attached to said sales certification form.

26. (original) The method of Claim 25 wherein
 said data card is completed using abbreviated codes contained on at least one code card; and
 wherein said data card has fields for animal data including information on the animal's physical characteristics, administrative information on the animal, and information on medications, vaccinations and treatments given to the animal, including:

the date said data card is being completed;
 the ranch on which the animal is located;
 whether group information is being given on the animal;
 the visual identification tag number for the animal;
 the animal's sex as heifer, cow, steer or bull;
 brand, method and dosage of medications and vaccinations for
 Brucellosis,
 Clostridial,
 IBR,
 PI-3,
 BVD,
 BRSV,
 Haemophilus somnus bacterin,
 Pasteurella,
 Leptospirosis,
 Deworm,
 Grub/Lice, and
 Other.
 brand of any implant;
 treatments whereby the animal was branded, castrated, dehorned, or weaned;
 an animal's frame rated as 1, 2, 3, 4, 5, 6, or 7;
 an animal's condition rated as 1, 2, 3, 4, 5, 6, 7, 8, or 9;
 an animal's breed code as such code is defined by a code card;
 an animal's sire code as such code is defined by a code card;
 an animal's dam code as such code is defined by a code card;
 an animal's location;
 an animal's birth date;
 an animal's color as black, red, white, brindle, grey, black with white face or red with white face;
 pregnancy at 1-2 months, 3-4 months, 4-5 months, 6-7 months, and 8-9 months;
 whether a broken needle is observed on the animal;
 whether a broken needle has not been observed on the animal; and
 any incident other than a broken needle.

27. (original) The method of Claim 26 wherein

a common characteristic of the group of animals to which said animal is assigned is selected from the group consisting of size, frame and breed.

28. (original) The method of Claim 27 wherein information sufficient to ascertain purchase price comprises price per pound for animal or group of animals purchased and weight in pounds of animal or group of animals purchased.
29. (previously amended) A method for certifying cattle for an auction between a seller and a buyer comprising:
 - providing said seller with a plurality of required guidelines for processing at least one animal prior to said auction, said required guidelines comprising: at least one required vaccination, at least one required treatment to said animal, at least one required health record, and a required weaning date for said at least one animal that is at least forty five days prior to said auction;
 - utilizing, by said seller, an electronic identification unit on each of said at least one animal, each of said identification units comprising a unique animal code for each of said at least one animal;
 - collecting information on each of said at least one animal comprising physical characteristics of said at least one animal;
 - processing a complete and signed certification form which certifies that said at least one animal was processed in accordance with said required processing guidelines; and
 - providing said buyer with at least a portion of said collected information and information regarding said certification.
30. (previously added) The method of claim 29, wherein said guidelines further comprise: a required vaccination regimen.
31. (previously added) The method of claim 29, wherein said guidelines further comprise: a required treatment regimen.
32. (previously added) The method of claim 31, wherein said required treatment regimen comprises:
 - dehorning said at least one animal.
33. (previously added) The method of claim 31, wherein said required treatment regimen comprises:
 - castrating said at least one animal.
34. (previously added) The method of claim 31, wherein said required treatment regimen comprises:
 - performing injections in said at least one animal in a region of the neck of said at least one animal.

35. (previously added) The method of claim 29, wherein said physical characteristics of collected information comprises:
weight information.
36. (previously added) The method of claim 29, wherein said physical characteristics of collected information comprises:
the sex of said at least one animal.
37. (previously added) The method of claim 29, wherein said collected information is collected and stored based, at least in part, on said unique animal code.
38. (previously amended) A method for certifying cattle for an automated cattle auction comprising:
providing a seller with required processing guidelines for processing at least one animal prior to said auction, said guidelines comprising: at least one required vaccination, at least one required medication, at least one required treatment to said at least one animal, at least one required health record and a required weaning date for said at least one animal that is a least forty five days prior to said auction;
utilizing an electronic identification unit comprising a unique animal code for each of said at least one animal;
collecting individual animal data comprising physical characteristic information for each of said at least one animal during processing of said at least one animal;
submitting a signed certification form which certifies that said at least one animal was processed in accordance with said required processing guidelines;
automatically collecting information comprising: animal weight and said unique animal code, for each of said at least one animal; and
automatically updating a database record with said collected information corresponding to said at least one animal with said collected information prior to said auction.
39. (previously added) The method of claim 38, wherein said required processing guidelines further comprise:
a required vaccination regimen.
40. (previously added) The method of claim 38, wherein said required processing guidelines further comprise:
a required treatment regimen.
41. (previously added) The method of claim 40, wherein said required treatment regimen comprises:
dehorning said at least one animal.

42. (previously added) The method of claim 40, wherein said required treatment regimen comprises:
castrating said at least one animal.
43. (previously added) The method of claim 40, wherein said required treatment regimen comprises:
performing injections in said at least one animal in a region of the neck of said at least one animal.